

经纪业务部机构客户证券交易佣金及服务费用收费标准

Brokerage Institutional Clients Securities Trading Commission and Service Fee Charging Standard

尊敬的客户：

Dear Customer,

汇丰前海证券对经纪业务部机构客户产品/服务的收费标准公示如下：

Please find below charging standard of HSBC Qianhai Securities with respect to products/services provided to brokerage institutional clients:

业务类别 Business Category	费用类型 Expense Type	上海、深圳证券交易所 Shanghai Stock Exchange/Shenzhen Stock Exchange
A 股（含港股通） A Shares (including Southbound trading)	交易佣金 Trading Commission	标准收费：交易金额的 0.1%（且不低于 5 元/笔） 最高不超过交易金额的 0.3% Standard charges: 0.1% of transaction amount (min. RMB5 per order) Max. 0.3% of transaction amount
基金 Fund	交易佣金 Trading Commission	标准收费：交易金额的 0.05%（且不低于 5 元/笔） 最高不超过交易金额的 0.3% Standard charges: 0.05% of transaction amount (min. RMB5 per order) Max. 0.3% of transaction amount
A 股（含港股通）、基金 A Shares (including Southbound trading) and Fund	印花税、过户费、结算费、证券交易经手费、证券交易监管费及其他代收规费等 Stamp duty, transfer fee, settlement fee, handling fee of securities transaction, regulatory fee of securities transaction and other fees collected on others' behalf.	根据当时的法律法规、监管规定、证券交易所、证券登记结算机构及其他权力机关的要求确定。关于最新的收费标准，请参考中国结算网站（ http://www.chinaclear.cn/zdjs/fbzyls/service_tlist.shtml ）以及其他权力机关所发布的信息。 To be determined according to the requirements of laws, regulatory provisions, stock exchanges, securities registration and clearing institutions and other authorities then prevailing. For the latest charging standard, please refer to the information released by CSDCC (http://www.chinaclear.cn/zdjs/fbzyls/service_tlist.shtml) and other authorities.

注：

- 上述佣金费率中，A 股（港股通除外）佣金费率为全佣金类型，已包含交易过户费、交易经手费、证券交易监管费；不包含印花税及其他税费。针对 QFII 客户、港股通业务的佣金费率为净佣金类型，不含交易征费、股份交收费、交易系统使用费、证券组合费、交易费、汇率尾差、财汇局交易征费等证监会、证券交易所、中登费用，不含印花税及其他税费。
- 对本收费标准尚未规定的产品/服务的收费，详见开户时签订的业务协议等相关规定。
- 上述费率可根据账户资产及交易量适当调整。上述表格相关服务如发生其他税费，根据当时的法律法规、监管规定、证券交易所、证券登记结算机构及其他权力机关的要求确定。如有任何问题，欢迎联系您的销售咨询。

Notes:

1. Among the above commission rates, the commission rate for A shares (excluding Southbound trading) is a gross commission type, which already includes transaction transfer fees, handling fees and regulatory fees of securities transactions, but stamp duty and other taxes are excluded. The commission rate for QFII customers and Southbound trading business is a net commission type, which excludes transaction levies, share transfer fees, trading system usage fees, securities portfolio fees, transaction fees, exchange rate tail differences, FRC transaction levies and other CSRC, stock exchange and CSDCC fees, and stamp duty and other taxes are also excluded.
2. For charges not listed above, please refer to the relevant provisions in the business agreement signed upon account opening.
3. Above fees and charges can be adjusted appropriately based on account assets and transaction volumes. In case there are other tax charges which may be incurred for relevant services above, these will be determined according to the requirements of laws, regulatory provisions, stock exchanges, securities registration and clearing institutions and other authorities then prevailing. For any questions, welcome to contact your sales for details.